

PATENT
Attorney Docket No.: 51456.00003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on

Date: 4/02/02By: [Signature]
Aaron Winger

In re Application of: Joseph C. Sturtevant, et al.	Examiner: Unknown
Serial No. 10/020,259	Art Unit: 2151
Filed: December 13, 2001	
Title: SYSTEM AND METHOD FOR AGGREGATING DATA HAVING DATA AND APPLICATION DEPENDENCIES	

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Papers mailed on January 22, 2002, in the above-identified application, enclosed herewith are the following:

- 1) Copy of Notice to File Missing Parts of Nonprovisional Application
- 2) Declaration for Utility Or Design Patent Application (37 CFR 1.63) (2 pages)
- 3) Power of Attorney or Authorization of Agent (1 page)
- 4) Petition for Extension of Time Under 37 CFR 1.136(a) (in duplicate)
- 5) Transmittal Form

PATENT
Attorney Docket No.: 51456.00003

- 6) Fee Transmittal for FY 2002 (in duplicate)
- 7) Acknowledgment Postcard


If the Examiner has any questions or needs additional information, the Examiner is invited to telephone the undersigned attorney at (650) 856-6500.

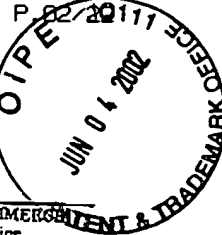
If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Date: 4/22/02

Respectfully submitted,

SQUIRE, SANDERS & DEMPSEY L.L.P.
600 Hansen Way
Palo Alto, California 94304-1043
Telephone: (650) 856-6500
Facsimile: (650) 843-8777


Aaron Wininger
Attorney for Applicants
Registration No.: 45,229



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/020,259	12/13/2001	Sturtevant, Joseph	DATES ENTERED 51456-00003

30256

SQUIRE, SANDERS & DEMPSEY L.L.P.
600 HANSEN WAY
PALO ALTO CA 94304-1043

MAY 30 2002

BY CALENDARED

ATTORNEY

Title: System and method for aggregating data having data and application SQUIRE SANDERS DEMPSEY

Date Mailed: 05/20/2002

Request for Substitute Papers.

The papers filed on 04/30/02 (certificate of mailing dated 04/22/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Aaron Winger Registration No.: 45,229

Signature: [Signature] Date: 6/4/02

JUN-04-2002 14:50

SQUIRE SANDERS DEMPSEY

6508438777 P.03/22

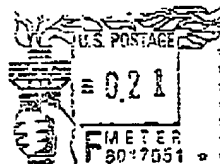
FILE COPY

Date Mailed: April 22, 2002 By: AW/say PTO DATE STAMP:
Serial No.: 10/020,259 Docket No.: 51456.00003
Applicant(s): Joseph C. Sturtevant, et al.
Title: SYSTEM AND METHOD FOR AGGREGATING DATA HAVING DATA AND APPLICATION
DEPENDENCIES

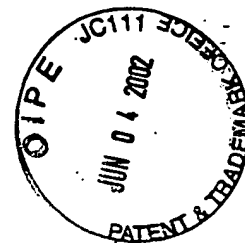
The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Patent Application ___ Pages ___ Claims | <input type="checkbox"/> Amendment |
| <input type="checkbox"/> Drawings Formal ___ Sheets | <input checked="" type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> General Authorization / Request to Petition for Extensions of Time | <input checked="" type="checkbox"/> Transmittal Form |
| <input checked="" type="checkbox"/> Signed Declaration (2 pages) and
Signed Power of Attorney (1 page) | <input checked="" type="checkbox"/> Fee Transmittal for FY 2002 (in duplicate) |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet | <input type="checkbox"/> Appeal Brief (in triplicate) |
| <input type="checkbox"/> Verified Statement Claiming Small Entity Status | <input type="checkbox"/> Issue Fee Transmittal (in duplicate) |
| <input type="checkbox"/> Continued Prosecution Application (§1.53(d)) | <input type="checkbox"/> Copy of PTO-1533, Notice to File Missing Parts |
| <input type="checkbox"/> Provisional Application ___ Pages | <input type="checkbox"/> Info. Disclosure Statement & PTO-1449/Refs ___ |
| <input type="checkbox"/> Design Application ___ Pages ___ Drawings | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Status Letter | <input type="checkbox"/> Check No. ___ for \$ |
| <input type="checkbox"/> Other: | |
| <input checked="" type="checkbox"/> Other: COPY of Notice to File Missing Parts of Nonprovisional Application | |
| <input checked="" type="checkbox"/> Other: Response to Notice to File Missing Parts Under 37 C.F.R. 1.53(b) | |
| <input checked="" type="checkbox"/> Certificate(s) of First Class Mailing | |

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PATENT/TRADEMARK OFFICE
WASHINGTON, D.C. 20231



Squire, Sanders & Dempsey L.L.P.
600 Hansen Way, Suite 100
Palo Alto, CA 94304-1043



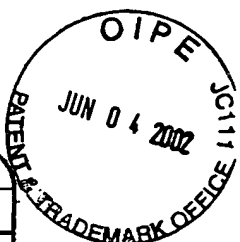
Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/020,259	
	Filing Date	December 13, 2001	
	First Named Inventor	Joseph C. Sturtevant	
	Group Art Unit	2151	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	11	Attorney Docket Number	51456.00003

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (in duplicate) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Declaration for Utility Patent Application (37 CFR 1.63) (2 pages) <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney (1 page) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;">COPY of Notice to File Missing Parts of Nonprovisional Application</div> <div style="text-align: center;">Return Receipt Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Aaron Winingar, Reg No. 45,229 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043
Signature	
Date	April 22, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: April 22, 2002			
Typed or printed name	Aaron Winingar		
Signature		Date	April 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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SQUIRE SANDERS DEMPSEY

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 120

Complete if Known

Application Number	10/020,259
Filing Date	December 13, 2001
First Named Inventor	Joseph C. Sturtevant
Examiner Name	Unknown
Group / Art Unit	2151
Attorney Docket No.	51456.00003

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit
Account
Number

05-0150

Deposit
Account
Name

Squire, Sanders & Dempsey, LLP.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20 **	0	0	0
Independent Claims -3 **	0	0	0
Multiple Dependent	0	0	0

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity (\$)	Small Fee Code	Entity (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	400	216	200	Extension for reply within second month	
117	820	217	400	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 120

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent	45,229	Telephone	680.856.8500
Signature				Date	April 22, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

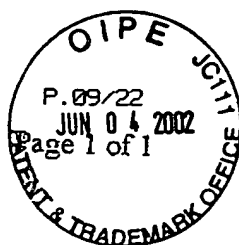
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WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,259	12/13/2001	Joseph C. Sturtevant	51456.00003

30256
SQUIRE, SANDERS & DEMPSEY L.L.P.
600 HANSEN WAY
PALO ALTO, CA 94304-1043

CONFIRMATION NO. 8654

FORMALITIES LETTER

OC000000007338118

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Y. Q. Q. Q. Q.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JUN-04-2002 14:55

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6508438777 P.15/22

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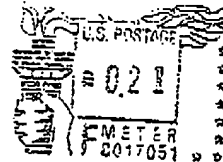
Date Mailed: April 22, 2002 | By: AW/say | PTO DATE STAMP:
Serial No.: 10/020,259 | Docket No.: 51456.00003
Applicant(s): Joseph C. Sturtevant, et al.
Title: SYSTEM AND METHOD FOR AGGREGATING DATA HAVING DATA AND APPLICATION
DEPENDENCIES

The following has been received in the U.S. Patent Office on the date stamped hereon:

- | | |
|---|---|
| <input type="checkbox"/> Patent Application ___ Pages ___ Claims | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> Drawings Formal ___ Sheets | <input type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> General Authorization / Request to Petition for Extensions of Time | |
| <input type="checkbox"/> Oath/Declaration/Power of Attorney | <input checked="" type="checkbox"/> Transmittal Form |
| <input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet
(in duplicate) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Verified Statement Claiming Small Entity Status | <input checked="" type="checkbox"/> Fee Transmittal for FY2002 (in duplicate) |
| <input type="checkbox"/> Continued Prosecution Application (§1:53(d)) | <input type="checkbox"/> Issue Fee Transmittal with PTO-85b |
| <input type="checkbox"/> Provisional Application ___ Pages | <input type="checkbox"/> Copy of PTO-1533, Notice to File Missing Parts |
| <input type="checkbox"/> Design Application ___ Pages ___ Drawings | <input type="checkbox"/> Info. Disclosure Statement & PTO-1449/Refs ___ |
| <input type="checkbox"/> Status Letter | <input type="checkbox"/> Request to Correct Filing Receipt |
| <input type="checkbox"/> Check No. for \$ | <input type="checkbox"/> Check No. for \$ |
| <input type="checkbox"/> Other: Request for Withdrawal as Attorney or Agent | |
| <input checked="" type="checkbox"/> Certificate(s) of First Class Mailing | |



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WASHINGTON, D.C. 20231



Squire, Sanders & Dempsey L.L.P.
600 Hansen Way, Suite 100
Palo Alto, CA 94304-1043



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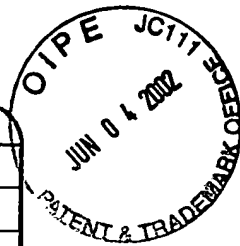
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/020,259	
	Filing Date	December 13, 2001	
	First Named Inventor	Joseph C. Sturtevant	
	Group Art Unit	2151	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	7	Attorney Docket Number	51456.00003



ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers with Recordation Cover Sheet (in duplicate) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;">Return Receipt Postcard</div>
<div style="border: 1px solid black; padding: 5px; min-height: 50px;"> Remarks </div>		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Aaron Winger, Reg. No. 45,229 Squire, Sanders & Dempsey, L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043
Signature	
Date	April 22, 2002

CERTIFICATE OF MAILING

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Typed or printed name	Aaron Winger		
Signature		Date	April 22, 2002

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete If Known

Application Number	10/020,259
Filing Date	December 13, 2001
First Named Inventor	Joseph C. Sturtevant
Examiner Name	Unknown
Group / Art Unit	2151
Attorney Docket No.	51456.00003

TOTAL AMOUNT OF PAYMENT (\$ 40

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																															
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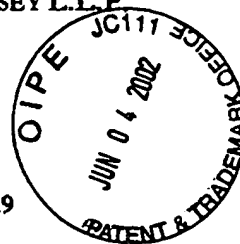
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